Docket No.: H01.2I-11733-US01





PCT

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Van Eikeren, Plaumann

**Application No.:** 

10/517072

Filed:

December 2, 2004

For:

Covering composition, method and device for

isolating tooth material to be treated and producing a shield for surrounding gums and/or adjacent

teeth.

**Group Art Unit:** 

Not Assigned

Mail Stop <u>Amendment</u> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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## TRANSMITTAL LETTER

- 1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: 4 page Information Disclosure Statement; 1 page List of References; 5 Cited References; and Return Postcard.
- 2. With respect to fees:
  - No additional fee is required.
  - ☐ Attached is check(s) in the amount of \$
  - □ Charge additional fee to our Deposit Account No. 22-0350.

# 3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: January 10, 2005

Richard A. Arrett

Registration No.: 33153

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185

Telephone: (952) 563-3000 Facsimile: (952) 563-3001

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop Amendment, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on January 10, 2005.

Julie K. Emerson

**PATENT** 

Docket No.: H01.2I-11733-US01

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Mail Stop \_\_\_\_\_ Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed foreign patent and each listed publication other than U.S. patents and U.S. patent application publications is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. patents and U.S. published applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of unpublished U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

X	I. This	I. This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.							
§1.9	7(b) or oth	erwise	e because to the knowledge of the undersigned attorney it is being filed						
(che	k all that	apply)	):						
	X	(1)	within 3 months of the filing date of the application (other than a CPA); or						
		(2)	within 3 months of entry of the national stage; or						
	<u>X</u>	(3)	before the mailing of a first Office Action on the merits;						
		(4)	before the mailing of a first Office Action after the filing of a request for						
			continued examination (RCE) under §1.114;						
		(5)	as part of a continued prosecution application (CPA); or						
		(6)	during the period of a suspension of action for a CPA under 37 C.F.R.						
			§1.103(b).						
	_ II. Thi	s state	ment is believed to require a fee or the submission of a certification under						
	37 C.F.	37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1)							
	three m	onths	beyond the filing date of a national application (other than CPA); (2) three						
	months beyond the date of entry of the national stage as set forth in §1.491 in an								
	internat	tional a	application; (3) the mailing of a first Office Action on the merits; (4) the						
	mailing of a first Office Action after the filing of a request for continued exam								
	under §1.114; or (5) after the filing of a request for a continued prosecution app								
	but befo	ore the	e mailing date of the earlier of a final office action under §1.113, a notice of						
	allowar	allowance under §1.311 or an action that otherwise closes prosecution in the application,							
	then:								
		<b>(1)</b>	a certification as specified in §1.97(e) is provided below; or						
		(2)	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or						
			included with the payment of other papers filed together with this						
			statement.						

# I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement. This communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement. I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

than three months prior to the filing of the Information Disclosure Statement.

information disclosure statement was known to any individual designated in 1.56(c) more

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If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

By:

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: January 3, 2005

Richard A. Arrett

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6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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LIST OF PATENTS AND PUBLICATIONS
FOR APPECANT'S AND INFORMATION DISCLOSURE TATEMENT

**ATTY DOCKET NO.:** H01.2I-11733-US01

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APPLICATION NO.: 10/517072

APPLICANT: Van Eikeren, Plaumann

(Use several sheets if necessary)			FILING DATE: December 2, 2004		GROUP: Not Assigned		
REFE	RENC	E DESIGNATION	U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	6305936	10/23/01	Jensen et al.	433/136		
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	ΑI						
	AJ						
<u> </u>	AK						
		]	FOREIGN PA	ATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE	COUNTRY			
	BA	4306997	09/08/1994	DE			
	BB	96/27342	09/12/1996	WO			
	BC	19915004	10/05/2000	DE			
	BD	19959255	06/21/2001	DE			
	BE	10105357	08/29/2002	DE			
	BF	69512287	05/11/2000	DE			
·		OTHER ART (I	ncluding Aut	hor, Title, Date, Pertinent I	Pages, Ect.)		
	CA				-		
	СВ						
	CC						
EXAMINER			DATE CON	NSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.